

**DECLARATION  
AND  
POWER OF ATTORNEY  
FOR  
PATENT APPLICATION**

Attorney Docket Number	11953.0002
First Named Inventor	Pensak
COMPLETE IF KNOWN	
Application Number	09 / 321,839
Filing Date	May 28, 1999
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INFORMATION SECURITY ARCHITECTURE FOR ENCRYPTING DOCUMENTS FOR REMOTE ACCESS WHILE  
MAINTAINING ACCESS CONTROL

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 05/28/1999 as United States Application Number or PCT International

Application Number 09/321,839 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification; including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

**DECLARATION AND POWER OF ATTORNEY — PATENT APPLICATION**

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number
Frederick S. Frei	27,105
Rebecca A. Goldman	41,786
Stuart T. F. Huang	34,184
Leo J. Jennings	32,902
Steven McCann	34,958
Kenneth J. Sheehan	36,270

**DIRECT ALL CORRESPONDENCE TO:**

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**DIRECT ALL TELEPHONE CALLS TO:**

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**DIRECT ALL FACSIMILE TRANSMISSIONS TO:**

Stuart T.F. Huang, Esq. at 202.429.3902

I hereby declare that all statements made herein of own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First Inventor: David A. Pensak

*(one given name w/o abbreviation plus any other given name or initial and family name)*

Inventor's Signature: David A. Pensak

Date: July 20, 1999

Residence: West Chester, PA

*(city and state or city and foreign country)*

Citizenship: US


Post Office Address: 1304 Birmingham Road

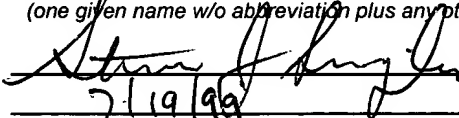
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Full Name of Joint Inventor: John J. Cristy  
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Full Name of Joint Inventor: Steven J. Singles  
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Full Name of Joint Inventor: \_\_\_\_\_  
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**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)  
11953.0002

Applicant, Patentee, or Identifier: PENSAK, David A.; CRISTY, John J.; and SINGLES, Steven J.  
Application or Patent No.: 09/321,839  
Filed or Issued: May 28, 1999  
Title: Information Security Architecture for Encrypting Documents for Remote Access While Maintaining Access Control

I hereby state that I am  
the owner of the small business concern identified below:  
an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Authentica Security Technologies, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 170 Tracer Lane, First Floor, Waltham, MA 02451

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 128(b))

NAME OF PERSON SIGNING Lance Urbas  
TITLE OF PERSON IF OTHER THAN OWNER President  
ADDRESS OF PERSON SIGNING 170 Tracer Ln., Waltham, MA 02451  
SIGNATURE [Signature] MR DATE 7/7/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.